

<small>FORM PT 37-1390 (Modified) (REV 11-2000)</small>		<small>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</small>		<small>ATTORNEY'S DOCKET NUMBER</small> <b>989.1033</b>	
<b>TRANSMITTAL LETTER TO THE UNITED STATES</b> <b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b> <b>CONCERNING A FILING UNDER 35 U.S.C. 371</b>				<small>U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR)</small> <b>09/ 889438</b>	
<small>INTERNATIONAL APPLICATION NO.</small> <b>PCT/F100/00019</b>		<small>INTERNATIONAL FILING DATE</small> <b>January 12, 2000</b>		<small>PRIORITY DATE CLAIMED</small> <b>January 12, 1999</b>	
<small>TITLE OF INVENTION</small> <b>METHOD FOR CHANGING LINEAR LOAD ON A REEL-UP</b>					
<small>APPLICANT(S) FOR DO/EO/US</small> <b>Mikko HEINONEN, et al.</b>					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2))           <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> <li>11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> </ol>					
<b>Items 13 to 20 below concern document(s) or information included:</b>					
<ol style="list-style-type: none"> <li>13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</li> <li>20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</li> <li>21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</li> <li>22. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail</li> <li>23. <input checked="" type="checkbox"/> Other items or information:  <div style="margin-left: 20px;"> <b>Letter Re Priority</b> </div> </li> </ol>					

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53(a)(2))		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
09/889438		PCT/FI00/00019		989.1033	
24. The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)) :					
<input checked="" type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO . . . . .				\$1000.00	
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO . . . . .				\$860.00	
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO . . . . .				\$710.00	
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) . . . . .				\$690.00	
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) . . . . .				\$100.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$1,000.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than _____ months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30				\$130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	9 - 20 =	0	x \$18.00	\$0.00	
Independent claims	2 - 3 =	0	x \$80.00	\$0.00	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$1,130.00	
<input type="checkbox"/> Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2.				\$0.00	
SUBTOTAL =				\$1,130.00	
Processing fee of \$130.00 for furnishing the English translation later than _____ months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$0.00	
TOTAL NATIONAL FEE =				\$1,130.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL FEES ENCLOSED =				\$1,130.00	
				Amount to be: refunded	\$
				charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$1,130.00 to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0518 A duplicate copy of this sheet is enclosed.					
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
STEINBERG & RASKIN, P.C. 1140 Avenue of the Americas, 15th Floor New York, New York 10036-5803					
SIGNATURE					
Martin G. Raskin					
NAME					
25,642					
REGISTRATION NUMBER					
July 12, 2001					
DATE					

09/889438  
JC03 Rec'd PCT/TL 12 JUL 2001

989.1033

**UNITED STATES PATENT AND TRADEMARK OFFICE**

Re: Application of: Mikko HEINONEN et al.  
Serial No.: Not yet known  
Filed: Simultaneously  
For: **METHOD FOR CHANGING LINEAR  
LOAD ON A REEL-UP**

**PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

July 12, 2001

Sir:

Prior to examination, please amend the above-identified application as follows:

**IN THE SPECIFICATION:**

Please amend the specification as set forth below.

Amend page 1, paragraph 1 to read as follows:

**TITLE OF THE INVENTION**

Method for Changing Linear Load On A Reel-Up

**FIELD OF THE INVENTION**

The present invention relates to a method for changing the linear load on a reel-up of a paper web. The reel-up primarily comprises an initial reeling device, a reeling shaft, a reeling cylinder and a loading device.

**BACKGROUND OF THE INVENTION**

The concept of linear load refers to the force required in the reeling, which loads the paper reel formed on the reeling shaft. Said force required in the reeling is applied to the reel formed on the reeling shaft primarily via the reeling cylinder in such a way that the necessary force, linear load, is generated via the nip between the outer perimeter of said reeling cylinder and the outer perimeter of the reel that is being formed, when the loading of said nip is at least primarily generated by means of force devices acting on the ends of the reeling shaft. In the initial reeling device the formation of the bottom portion of the reel on the reeling shaft takes place, whereafter the reeling shaft is transferred to the loading device to be reeled to form a full paper reel.

11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100 101 102 103 104 105 106 107 108 109 110 111 112 113 114 115 116 117 118 119 120 121 122 123 124 125 126 127 128 129 130 131 132 133 134 135 136 137 138 139 140 141 142 143 144 145 146 147 148 149 150 151 152 153 154 155 156 157 158 159 160 161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178 179 180 181 182 183 184 185 186 187 188 189 190 191 192 193 194 195 196 197 198 199 200 201 202 203 204 205 206 207 208 209 210 211 212 213 214 215 216 217 218 219 220 221 222 223 224 225 226 227 228 229 230 231 232 233 234 235 236 237 238 239 240 241 242 243 244 245 246 247 248 249 250 251 252 253 254 255 256 257 258 259 260 261 262 263 264 265 266 267 268 269 270 271 272 273 274 275 276 277 278 279 280 281 282 283 284 285 286 287 288 289 290 291 292 293 294 295 296 297 298 299 300 301 302 303 304 305 306 307 308 309 310 311 312 313 314 315 316 317 318 319 320 321 322 323 324 325 326 327 328 329 330 331 332 333 334 335 336 337 338 339 340 341 342 343 344 345 346 347 348 349 350 351 352 353 354 355 356 357 358 359 360 361 362 363 364 365 366 367 368 369 370 371 372 373 374 375 376 377 378 379 380 381 382 383 384 385 386 387 388 389 390 391 392 393 394 395 396 397 398 399 400 401 402 403 404 405 406 407 408 409 410 411 412 413 414 415 416 417 418 419 420 421 422 423 424 425 426 427 428 429 430 431 432 433 434 435 436 437 438 439 440 441 442 443 444 445 446 447 448 449 450 451 452 453 454 455 456 457 458 459 460 461 462 463 464 465 466 467 468 469 470 471 472 473 474 475 476 477 478 479 480 481 482 483 484 485 486 487 488 489 490 491 492 493 494 495 496 497 498 499 500 501 502 503 504 505 506 507 508 509 510 511 512 513 514 515 516 517 518 519 520 521 522 523 524 525 526 527 528 529 530 531 532 533 534 535 536 537 538 539 540 541 542 543 544 545 546 547 548 549 550 551 552 553 554 555 556 557 558 559 560 561 562 563 564 565 566 567 568 569 570 571 572 573 574 575 576 577 578 579 580 581 582 583 584 585 586 587 588 589 590 591 592 593 594 595 596 597 598 599 600 601 602 603 604 605 606 607 608 609 610 611 612 613 614 615 616 617 618 619 620 621 622 623 624 625 626 627 628 629 630 631 632 633 634 635 636 637 638 639 640 641 642 643 644 645 646 647 648 649 650 651 652 653 654 655 656 657 658 659 660 661 662 663 664 665 666 667 668 669 670 671 672 673 674 675 676 677 678 679 680 681 682 683 684 685 686 687 688 689 690 691 692 693 694 695 696 697 698 699 700 701 702 703 704 705 706 707 708 709 710 711 712 713 714 715 716 717 718 719 720 721 722 723 724 725 726 727 728 729 730 731 732 733 734 735 736 737 738 739 740 741 742 743 744 745 746 747 748 749 750 751 752 753 754 755 756 757 758 759 760 761 762 763 764 765 766 767 768 769 770 771 772 773 774 775 776 777 778 779 780 781 782 783 784 785 786 787 788 789 790 791 792 793 794 795 796 797 798 799 800 801 802 803 804 805 806 807 808 809 810 811 812 813 814 815 816 817 818 819 820 821 822 823 824 825 826 827 828 829 830 831 832 833 834 835 836 837 838 839 840 841 842 843 844 845 846 847 848 849 850 851 852 853 854 855 856 857 858 859 860 861 862 863 864 865 866 867 868 869 870 871 872 873 874 875 876 877 878 879 880 881 882 883 884 885 886 887 888 889 890 891 892 893 894 895 896 897 898 899 900 901 902 903 904 905 906 907 908 909 910 911 912 913 914 915 916 917 918 919 920 921 922 923 924 925 926 927 928 929 930 931 932 933 934 935 936 937 938 939 940 941 942 943 944 945 946 947 948 949 950 951 952 953 954 955 956 957 958 959 960 961 962 963 964 965 966 967 968 969 970 971 972 973 974 975 976 977 978 979 980 981 982 983 984 985 986 987 988 989 990 991 992 993 994 995 996 997 998 999 1000 1001 1002 1003 1004 1005 1006 1007 1008 1009 1010 1011 1012 1013 1014 1015 1016 1017 1018 1019 1020 1021 1022 1023 1024 1025 1026 1027 1028 1029 1030 1031 1032 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044

## SUMMARY OF THE INVENTION

By means of the method according to the invention, it is possible to avoid additional loading exerted on the reeling shaft at that stage when the reeling shaft is transferred from the initial reeling device to the loading of that loading device by means of which most of the reel is formed.

[illegible]

### BRIEF DESCRIPTION OF THE DRAWINGS

In the following, the method according to the invention will be described by means of an example with reference to the appended drawings, in which:

123456789101112131415161718192021222324252627282930313233343536373839404142434445464748495051525354555657585960616263646566676869707172737475767778798081828384858687888990919293949596979899100

## **DETAILED DESCRIPTION OF THE INVENTION**

The method according to the invention is implemented by means of a reel-up according to Fig. 1, in which locking jaws 3 of the initial reeling device 9 correspond to the primary forks of the aforementioned patent FI-71107 and secondary jaws 8 journaled pivotable in the vertical plane in reeling carriages 6 correspond to the secondary forks of the patent, the jaw on the side of the reeling cylinder 4 being a locking jaw and the jaw on the other side of the end of the reeling shaft being a guide jaw. The reeling carriages 6 move along guide rails by means of linear bearings and hydraulic cylinders 11 which produce the loading of the reel, and of which the term "loading actuator" will be used hereinbelow. The loading device by means of which the reel is loaded against the reeling cylinder 4, is composed of hydraulic cylinders 11 and a mechanism by means of which the hydraulic cylinders are in a power transmitting connection with the ends of the reeling shaft, more precisely with the bearing housings of the reeling shaft. The mechanism, by means of which the force of the hydraulic cylinders is transmitted to the ends of the reeling shaft, is in this case composed of the reeling carriages 6 and the guide jaws 8. For the purpose of measuring the diameter of the reel, the reeling carriages 6 are provided with devices for measuring the position, which are placed on both sides of the machine. In the reel-up, the reel is supported in a known manner by the ends of the reeling shaft by means of reeling rails 5 or corresponding supporting elements.

Marked up version of page 1, paragraph 1, as amended.

**--TITLE OF THE INVENTION--**

Method for Changing Linear Load On A Reel-Up

**--FIELD OF THE INVENTION--**

The present invention relates to a method for changing the linear load on a reel-up of a paper web. The reel-up primarily comprises an initial reeling device, a reeling shaft, a reeling cylinder and a loading device.

**--BACKGROUND OF THE INVENTION--**

The concept of linear load refers to the force required in the reeling, which loads the paper reel formed on the reeling shaft. Said force required in the reeling is applied to the reel formed on the reeling shaft primarily via the reeling cylinder in such a way that the necessary force, linear load, is generated via the nip between the outer perimeter of said reeling cylinder and the outer perimeter of the reel that is being formed, when the loading of said nip is at least primarily generated by means of force devices acting on the ends of the reeling shaft. In the initial reeling device the formation of the bottom portion of the reel on the reeling shaft takes place, whereafter the reeling shaft is transferred to the loading device to be reeled to form a full paper reel.



Marked up version of page 3, paragraph 2, as amended.

**--SUMMARY OF THE INVENTION--**

By means of the method according to the invention, it is possible to avoid additional loading exerted on the reeling shaft at that stage when the reeling shaft is transferred from the initial reeling device to the loading of that loading device by means of which most of the reel is formed.

[The method according to the invention is primarily characterized in what will be presented in the characterizing part of the appended claim 1.]



[illegible]

**--DETAILED DESCRIPTION OF THE INVENTION--**

[In this case the] The method according to the invention is implemented by means of a reel-up according to Fig. 1, in which locking jaws 3 of the initial reeling device 9 correspond to the primary forks of the aforementioned patent FI-71107 and secondary jaws 8 journaled pivotable in the vertical plane in reeling carriages 6 correspond to the secondary forks of the patent, the jaw on the side of the reeling cylinder 4 being a locking jaw and the jaw on the other side of the end of the reeling shaft being a guide jaw. The reeling carriages 6 move along guide rails by means of linear bearings and hydraulic cylinders 11 which produce the loading of the reel, and of which the term "loading actuator" will be used hereinbelow. The loading device by means of which the reel is loaded against the reeling cylinder 4, is composed of hydraulic cylinders 11 and a mechanism by means of which the hydraulic cylinders are in a power transmitting connection with the ends of the reeling shaft, more precisely with the bearing housings of the reeling shaft. The mechanism, by means of which the force of the hydraulic cylinders is transmitted to the ends of the reeling shaft, is in this case composed of the reeling carriages 6 and the guide jaws 8. For the purpose of measuring the diameter of the reel, the reeling carriages 6 are provided with devices for measuring the position, which are placed on both sides of the machine. In the reel-up, the reel is supported in a known manner by the ends of the reeling shaft by means of reeling rails 5 or corresponding supporting elements.

**IN THE CLAIMS:**

Please amend the claims to read as set forth below.

5. Method according to claim 1, **characterized** in that at that stage when the load applied to the reeling shaft (1) is transferred from the initial reeling device (9) to the loading device, within a given time the loading caused by the initial reeling device (9) is reduced from a given initial value nearly down to zero or to zero at the same time when the loading of the loading device is increased from zero to a given final value.

7. Method according to claim 1, **characterized** in that during the initial reeling the reeling shaft (1) is kept in the locking jaws (3) of the initial reeling device (9), and during the transfer of the load the pivotable guide jaws (8) of the reeling carriages (6) or the like movable by means of the loading actuators (11) start to load the reeling shaft (1).





01 02 03 04 05 06 07 08 09 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

**REMARKS**

Please enter the amendments to the claims made in response to the International Preliminary Examination Report for purposes of the U.S. National Phase.

The specification has been amended herein to include section headings at appropriate locations and to remove minor informalities from the specification. Clean and marked up versions of the replacement paragraphs to be entered have been included herewith.

Claims 5 and 7 have been amended herein to remove multiple dependancies therefrom. Marked-up versions of the claims have been included herewith showing the changes to the claims. In addition new claims 8-9 have been added to the application which are supported by the original specification.


Respectfully submitted,

STEINBERG & RASKIN, P.C.



Martin G. Raskin  
Reg. No. 25,642

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By //   
Paul J. Haggard  
Reg. No. 44,152

Method for changing linear load on a reel-up,

The present invention relates to a method for changing the linear load on a reel-up of a paper web. The reel-up primarily comprises an initial  
5 reeling device, a reeling shaft, a reeling cylinder and a loading device. The concept of linear load refers to the force required in the reeling, which loads the paper reel formed on the reeling shaft. Said force required in the reeling is applied to the reel formed on the reeling shaft primarily via the reeling cylinder in such a way that the necessary force,  
10 linear load, is generated via the nip between the outer perimeter of said reeling cylinder and the outer perimeter of the reel that is being formed, when the loading of said nip is at least primarily generated by means of force devices acting on the ends of the reeling shaft. In the initial reeling device the formation of the bottom portion of the reel on the  
15 reeling shaft takes place, whereafter the reeling shaft is transferred to the loading device to be reeled to form a full paper reel.

The force loading the nip can be adjusted by means of control signals derived on the basis of the position of the initial reeling device and the  
20 loading device in such a way that the loading of the nip is dependent in a predetermined manner on a possible initial reeling angle, the diameter of the growing reel, or time, i.e. the linear load changes as a function of a measurable factor. At the transfer stage, in which the reeling shaft and the reel to be formed thereon are transferred from the  
25 support and loading applied by said initial reeling device or the like to the loading effected by the loading device, a force peak which disturbs the reeling process is often generated in the linear load.

Said reel-up is generally used for example for reeling up of a paper web passed for example from a paper machine or a finishing device for  
30 paper. The reel-up in question is a continuous reel-up in which machine reels are reeled successively. When the reel has become full, the web is changed to travel to a new reeling shaft. The web is reeled around the reeling shaft and in the reel-up the reel which is gradually  
35 growing into its full size, is pressed against the reeling cylinder by means of a loading device, the web travelling over the reeling cylinder in a particular sector and the reeling cylinder being rotated at a



## 2

peripheral speed corresponding to the desired speed of the web. Before the reel is completed, a new reeling shaft can, when accelerated to the running speed, be brought in nip contact with the reeling cylinder by means of the initial reeling device in such a way that it also attains the corresponding peripheral speed. As soon as the paper reel has attained the desired diameter, it is transferred away from the reeling cylinder. Thus, its peripheral speed starts to become lower, which results in that a web loop is formed between the new reel shaft and the complete reel. This loop is guided e.g. by means of a pressurized air jet to wind around a new reel shaft, and it is torn off from the finished reel as a result. There are also other known ways of change.

Because of the variations in the loading, it is a problem in the aforementioned transfer stage especially when reeling takes place at high speeds, that wrinkles are produced in the inner layers of the reels in such a manner that the bottom portion of the reels is rejected as a broke. The amount of paper discharged as broke may be as high as 2 to 3 %, which causes considerable financial losses for the paper mill.

A known method for changing the linear load on the reel-up is disclosed for example in the patent FI-71107, and in the corresponding US patent 4634068. Here, secondary forks are driven against the reeling shaft which is initially reeled in primary forks, in such a manner that the forks hit the reeling shaft. The linear load is controlled in this transfer stage by evenly reducing the loading produced by the primary forks, and by evenly increasing the loading of the secondary forks at the same time, wherein the sum linear load remains substantially equal. The impact on the reeling shaft, however, always results in a clear linear load peak. Thus, in addition to the change of the loading, the disturbance is also caused by the transfer of the loading device to a position where it can receive the reel from the initial reeling device, and the latter factor can act in the transfer of the loading, even though the linear load could be controlled well by controlling the actuators affecting the loading at the transfer stage.

## 3

For example in the generally used reel-up type, there are reeling carriages which can be moved in the longitudinal direction of the machine (machine direction), which reeling carriages function as a loading device when the reel is completed after the initial reeling. The carriages are provided with guide jaws, which press the ends of the reeling shaft towards the reeling cylinder. The guide jaws press the reeling shaft with a force, which is determined according to the force of the actuators used for moving the reeling carriages. When the actuators are used to drive the carriage against the reeling shaft, a certain pressure always prevails therein to ensure the movement, the pressure causing a "load stroke" when the guide jaws touch the reeling shaft.

By means of the method according to the invention, it is possible to avoid additional loading exerted on the reeling shaft at that stage when the reeling shaft is transferred from the initial reeling device to the loading of that loading device by means of which most of the reel is formed. The method according to the invention is primarily characterized in what will be presented in the characterizing part of the appended claim 1.

By means of the method according to the invention, the variation of the loading occurring in the change of the reeling shaft can be minimized even further, and thus the paper reels are formed in such a manner that they are of uniform quality for example in view of further processing procedures. The invention is based on the adjustment of the positions or location of the guide jaws as well as on the adjustment of the force of the loading device before the transfer stage in such a manner that no loading peak is generated.

In the following, the method according to the invention will be described by means of an example with reference to the appended drawings, in which

Fig. 1 shows a side-view of a reel-up type as an example,

Fig. 2 shows loading pressures of prior art at the transfer stage,

Fig. 3 shows schematically the first embodiment of the method, and

Fig. 4 shows schematically a second embodiment of the method.

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In this case the method according to the invention is implemented by means of a reel-up according to Fig. 1, in which locking jaws 3 of the initial reeling device 9 correspond to the primary forks of the  
10 aforementioned patent FI-71107 and secondary jaws 8 journaled pivotable in the vertical plane in reeling carriages 6 correspond to the secondary forks of the patent, the jaw on the side of the reeling cylinder 4 being a locking jaw and the jaw on the other side of the end of the reeling shaft being a guide jaw. The reeling carriages 6 move along  
15 guide rails by means of linear bearings and hydraulic cylinders 11 which produce the loading of the reel, and of which the term "loading actuator" will be used hereinbelow. The loading device by means of which the reel is loaded against the reeling cylinder 4, is composed of hydraulic cylinders 11 and a mechanism by means of which the  
20 hydraulic cylinders are in a power transmitting connection with the ends of the reeling shaft, more precisely with the bearing housings of the reeling shaft. The mechanism, by means of which the force of the hydraulic cylinders is transmitted to the ends of the reeling shaft, is in this case composed of the reeling carriages 6 and the guide jaws 8. For the purpose of measuring the diameter of the reel, the reeling  
25 carriages 6 are provided with devices for measuring the position, which are placed on both sides of the machine. In the reel-up, the reel is supported in a known manner by the ends of the reeling shaft by means of reeling rails 5 or corresponding supporting elements.

30 According to Fig. 1, in the beginning of the change of the reeling shaft, the initial reeling device 9 is in the upper position and the locking jaws 3 of the initial reeling device are open. The clutch of the initial reeling drive is also open. In the reeling shaft storage there is an empty reeling shaft to be picked up by lowering arms 2.

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The reeling shaft 1 is lowered to the initial reeling device 9 by means of lowering arms 2. The jaws 3 of the initial reeling device are

automatically locked as soon as the reeling shaft 1 is positioned down in the jaws 3 of the initial reeling device 9. The clutch of the initial reeling drive is closed and it is shifted to crawling mode. The empty reeling shaft 1 is accelerated to the web speed and the initial reeling device 9 is turned a given distance, for example into a certain angular position with respect to the reeling cylinder 4, or in such a manner that the reeling shaft 1 is lowered on the rails. The nip between the reeling cylinder 4 and the reeling shaft 1 is automatically closed at some point during the turning movement. The reeling carriages 6 are transferred to a change position when the paper reel has reached the desired diameter. The reel is thus transferred away from the reeling cylinder 4. The web is changed on a new reeling shaft 1 by a suitable manner.

The act of stopping the full reel 7 takes place by braking. When the reel 7 has stopped, the braking ceases. The guide jaws 8 and the locking jaws 8 of the reeling carriages 6 turn downward automatically, whereafter the full reeling shaft 1 rolls along the rails 5 to a stopper, wherefrom it can be transferred away from the machine by means of a crane.

During the process of moving the full reel 7 away from the machine, the web is reeled on the reeling shaft 1 located in the initial reeling device 9, and the reel is loaded against the reeling cylinder 4 by means of the actuators of the initial reeling device 9, which act upon the ends of the reeling shaft via the locking jaws 3. At the next stage the initial reeling device 9 is turned down on the rails 5 if the change has been conducted in the upper angular position of the reeling shaft, and the reeling carriages 6 are guided towards the reeling shaft 1 located on top of the rails 5 in the initial reeling device 9.

Fig. 2 illustrates the pressure levels of the actuators responsible for the loading of the initial reeling device 9 and the pressure levels of the loading actuators 11 responsible for the loading of the reeling carriages at a transfer stage. The guide jaws 8 have been turned up by means of actuators 10 located in the reeling carriages 6, wherein the guide jaw causes a pressure peak marked with the letter P when it hits the reeling cylinder, and a corresponding loading peak in the reel-up.

In the invention the contact of the loading device with the reeling shaft takes place without loading force, in other words the loading actuators 11 are devoid of the force effecting the linear load.

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According to the first embodiment of the invention (Fig. 3), the carriages 6 are guided towards the reeling shaft 1 at a very low speed, the guide jaws 8 being positioned in the upper position, and the carriages 6 are stopped approximately 10 mm before the guide jaws 8 enter in contact with the reeling shaft 1 in the initial reeling device 9. At this stage, when the guide jaws 8 are not yet in contact with the reeling shaft 2, pressure is switched off from the loading actuators 11, and their chambers are open to the tank lines via valves. The next step is to wait until the diameter of the web reel R grows to such a dimension that the end of the reeling shaft 1 is transferred against the guide jaw 8 and starts to move the reeling carriages 6 along itself. This can be detected from the position information of the reeling carriages 6, for example by means of a sensor indicating the position. Thus, the locking jaws 8 of the reeling carriages 6 can be lifted up on the opposite side of the ends of the reeling shaft 1, wherein they lock the reeling shaft 1 to the reeling carriages 6 when they are closed. At the same time the pressure can be switched to the loading actuators. The change of the linear load takes place for example according to principle described in the publication FI-71107 and shown in Fig. 2, in such a manner that the loading effected by the initial reeling device 9 is reduced from a given starting level to the zero level at the same time when the loading caused by the reeling carriages is increased, until the loading equals the loading of the initial reeling device 9 before starting the change, in other words the overall loading remains constant. The principle is thus the same as the one in Fig. 2, but the act of increasing the loading of the loading device and thereby the act of increasing the linear load begins from zero. A linear load peak is not generated because the guide jaws 8 are not driven against the reeling shaft, but the web reel can grow into engagement with the reeling carriages 6 freely.

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According to a second alternative (Fig. 4), the reeling carriages are driven to a load transfer position when both the guide jaws and the locking jaws are in the lower position. The guiding carriages are transferred to the correct position by position control in such a manner that the jaws are closed on both sides of the ends of the reel spool 1. When the jaws 8 are closed, the force of the loading actuators 11 is set to 0 N, wherein the contact to the reeling shaft 1 takes place without loading force, and a loading peak does not occur. In practice the reeling carriages 6 are set to force control mode and the force target is set to 0 N. Thereafter the loading is increased in a similar manner as described above.

In both alternatives the loading forces can be ramped clearly from zero to the linear load force by means of the loading device and from the linear load force to zero by means of the initial reeling device. Both forces can be changed evenly, wherein the graph of the sum linear load illustrating their overall effect as a function of the time is also linear.

When the load of the drive has been changed, the clutch of the initial reeling drive opens automatically and the drive is stopped. The locking jaws 3 of the initial reeling device 9 are opened and it is guided upwards into the initial position of the change sequence. Then the initial reeling device 9 is ready to receive a new reeling shaft from a storage of reeling shafts for the next change.

The function of the reel-up is controlled with a control system, which is based on programmable control logic known as such or on a corresponding control system, by means of which the aforementioned adjustments can be implemented. The motion of the reeling carriages 6 can be controlled in a precise manner by means of position control, wherein said reeling carriages and the guide jaws 8 therein will be positioned accurately with respect to the reeling shaft 1 before they enter in contact with the reeling shaft and before the load is increased.

It is obvious that the invention is not restricted to the above-described example, but it can vary within the scope of the claims. In the above-

described reel-up type there is a reeling carriage 6 on both sides of the frame of the reel-up, which reeling carriage moves in a linear manner and is in a power transmitting connection with the corresponding end of the reeling shaft 1. Both the reeling cylinder 4 and the reeling shaft 1 are rotated during the reeling, i.e. the reel-up in question is a centre-drive assisted reel-up. The reel-up type and/or the details of the reel-up can, however, differ from those mentioned above. The reel-up can for example function by surface drive, wherein for example only the reeling cylinder 4 is driven. It is common to all reel-ups in which the invention can be applied that they are provided with a loading device which is driven into contact with a reeling shaft at that stage when the paper web has already been reeled around the reeling shaft in the initial reeling. The moment of the change can also be selected in such a manner that most of the reeling process is conducted by means of the initial reeling device and only a short time before the reel change the reel is changed to the loading devices. Thus, in this context, the initial reeling device has to be regarded as a device in which it is possible to reel the web around the reeling shaft and which causes a load which can be changed to a load effected by another loading device for the duration of the final reeling. The reel-up can be provided e.g. with two pairs of reeling carriages.

The reeling cylinder 4 can be replaced with any surface drive apparatus, which forms a nip with the reel, in which nip the aforementioned linear load is effective. The surface drive apparatus can be for example a belt and roll assembly.

Claims:

1. Method for changing linear load on a reel-up which comprises an initial reeling device (9), a reeling shaft (1), a surface drive apparatus or the like, and a loading device for the reeling process taking place after initial reeling, in which method the reeling takes place in the following way:

— the reeling begins as a so-called initial reeling in the initial reeling device (9) from which the reeling shaft (1) and the initial portion of the reel formed thereon is transferred to the loading device by means of which the stages following the formation of the initial portion of the reel are conducted, in such a manner that the part (8) of the loading device which transmits load to the reeling shaft (1) is brought in contact with the reeling shaft (1),

— the force devices of the initial reeling device (9) and the loading device are primarily utilized to effect the linear load in the nip between the reel formed around the reeling shaft (1) and the surface drive apparatus or the like, the linear load being adjusted during the reeling by means of force devices in such a manner that the desired linear load is attained as a function of given factors,

**characterized** in that when the reeling shaft (1) is transferred from the initial reeling device (9) to the loading device, the contact of the part (8) that transmits load to the reeling shaft (1) takes place when the loading device is substantially in a state devoid of loading force, whereafter the loading by means of the loading device is started.

2. Method according to claim 1, **characterized** in that the movement of the part (8) that transmits load to the reeling shaft towards the reeling shaft (1) is stopped before said part (8) enters in contact with the reeling shaft (1) located in the initial reeling device (9) and the reeling shaft (1) is allowed to move in contact with said part by increasing the diameter of the reel produced around the reeling shaft by continuously reeling the web on the reeling shaft (1).



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3. Method according to claim 2, **characterized** in that the transfer of the load applied to the reeling shaft (1) from the initial reeling device (9) to the loading device is started when the diameter of the reel formed around the reeling shaft (1) has been allowed to grow so large that it starts to move or transfer the loading device.

4. Method according to claim 1, **characterized** in that the part (8) that transmits load to the reeling shaft is transferred close to the reeling shaft (1), whereafter the part (8) is transferred into contact with the reeling shaft (2) kinetically independently of the motion of the loading actuator (11) while the loading device is at least in the moment of contact in a state devoid of loading force.

5. Method according to any of the foregoing claims, **characterized** in that at that stage when the load applied to the reeling shaft (1) is transferred from the initial reeling device (9) to the loading device, within a given time the loading caused by the initial reeling device (9) is reduced from a given initial value nearly down to zero or to zero at the same time when the loading of the loading device is increased from zero to a given final value.

25 6. Method according to claim 5, **characterized** in that the loading of the loading device is increased evenly and the loading of the initial reeling device (9) is reduced evenly in such a manner that the sum linear load graph illustrating their overall effect as a function of time is linear.

7. Method according to any of the foregoing claims, **characterized** in that during the initial reeling the reeling shaft (1) is kept in the locking jaws (3) of the initial reeling device (9), and during the transfer of the load the pivotable guide jaws (8) of the reeling carriages (6) or the like 30 movable by means of the loading actuators (11) start to load the reeling shaft (1).

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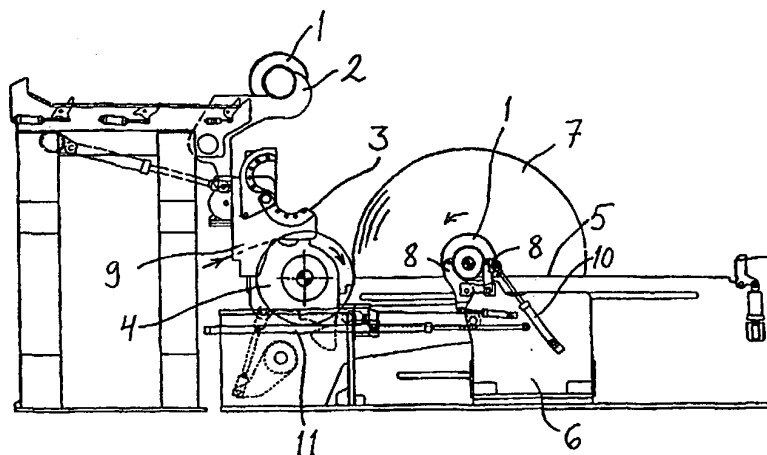
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(54) Title: **METHOD FOR CHANGING LINEAR LOAD ON A REEL-UP**



(57) Abstract

In the method for changing linear load on a reel-up which comprises an initial reeling device (9), a reeling shaft (1), a surface drive apparatus or the like, and a loading device (8) for the reeling process taking place after initial reeling, the reeling begins as a so-called initial reeling in the initial reeling device (9) from which the reeling shaft (1) and the initial portion of the reel formed thereon is transferred to the loading device by means of which the stages following the formation of the initial portion of the reel are conducted, in such a manner that the part (8) of the loading device which transmits load to the reeling shaft (1) is brought in contact with the reeling shaft (1). The force devices of the initial reeling device (9) and the loading device are primarily utilized to effect the linear load in the nip between the reel formed around the reeling shaft (1) and the surface drive apparatus or the like, the linear load being adjusted during the reeling by means of force devices in such a manner that the desired linear load is attained as a function of given factors. The contact of the part (8) that transmits load to the reeling shaft takes place when the loading device is substantially in a state devoid of loading force.



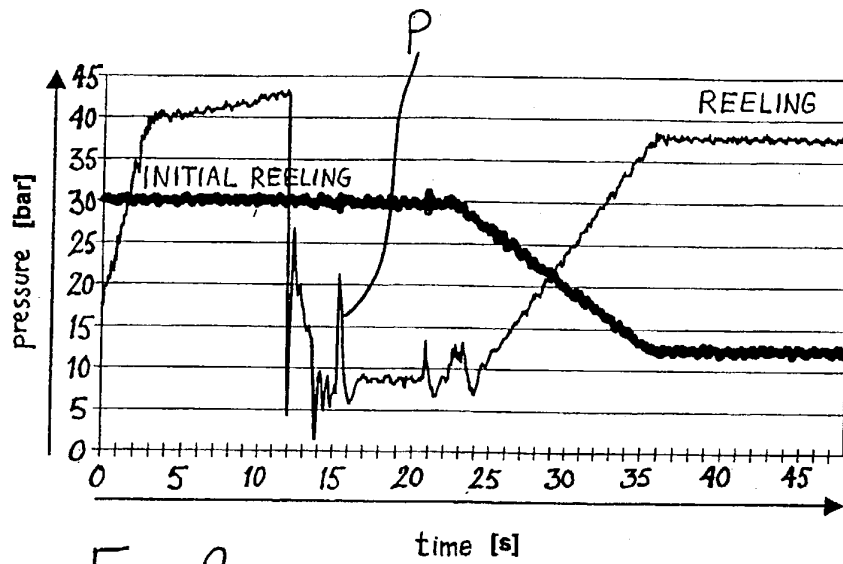


Fig. 2

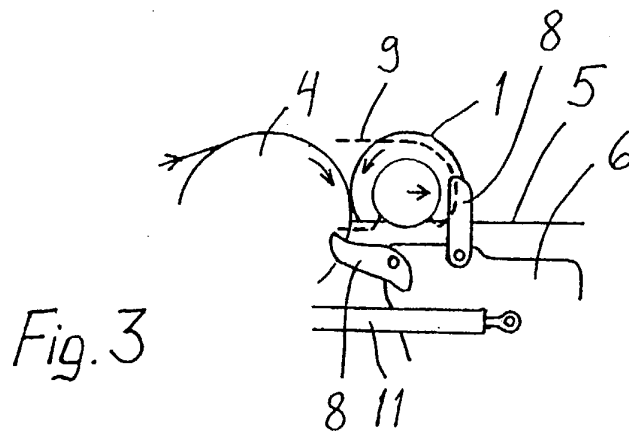


Fig. 3

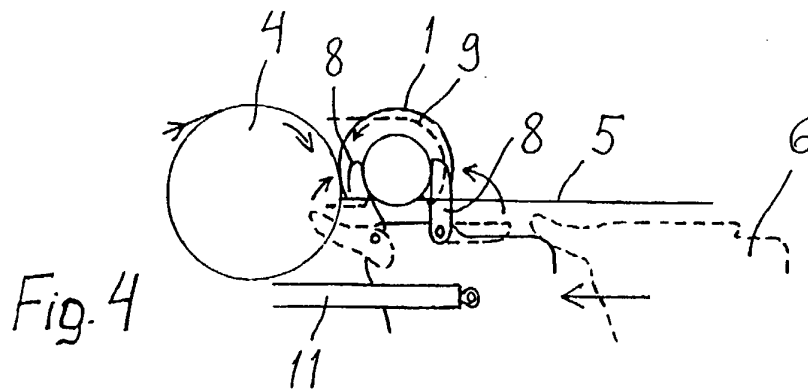
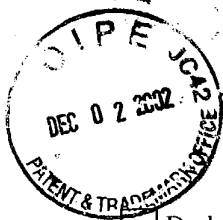


Fig. 4

Docket No.: 989.1033**DECLARATION AND POWER OF ATTORNEY FOR  
UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)**☒ Declaration submitted with initial filing☐ Declaration submitted after initial filing (surcharge (37 CFR 1.6(e) required))First Named Inventor: Mikko HEINONEN

COMPLETE IF KNOWN:

Application Number: 09/889,438 ✓Filing Date: July 12, 2001 ✓

Group Art Unit: \_\_\_\_\_

Examiner Name: \_\_\_\_\_

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD FOR CHANGING LINEAR LOAD ON A REEL-UP ✓

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YY) 12 January 2000 as United States Application Number or PCT International Application Number PCT/FI00/00019 and was amended on (MM/DD/YY) 12 January 2001 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability of this application as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
<u>990044</u>	<u>Finland</u> ✓	<u>January 12, 1999</u> ✓			<b>X</b>

I hereby claim the benefit under 35 U.S.C 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YY)

I hereby claim the benefit under 35 U.S.C 120 of any United States application(s), or 365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application

U.S. Parent Application or PCT Parent Number	Parent Filing date (MM/DD/YY)	Parent Patent Number (if applicable)
PCT/FI00/00019 ✓	12 January 2000	

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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Direct all correspondence to:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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